

SCHEDULE 2 (to Standard No. GEN.S.10.5)**NOTIFICATION FORM FOR TERMINATION OF A PRINCIPAL OFFICER****PART 1: PRINCIPAL OFFICER DETAILS**

- 1.1 Name of Principal Officer: _____
- 1.2 Nationality: _____
- 1.3 ID/Passport Number: _____
- 1.4 Country of Residence: _____
- 1.5 Physical Address: _____
- 1.6 Postal Address: _____
- 1.7 Telephone Number: _____
- 1.8 Fax Number: _____
- 1.9 Email Address: _____

PART 2: FINANCIAL INSTITUTION OR FINANCIAL INTERMEDIARY DETAILS

- 2.1 Name of Entity: _____
- 2.2 Registration number: _____
- 2.3 Physical Address: _____
- 2.4 Postal Address: _____
- 2.5 Entity Telephone Number: _____
- 2.6 Entity Office Fax Number: _____
- 2.7 Entity Office Email Address: _____

PART 3: TERMINATION DETAILS

- 3.1 Effective Date of termination: _____
- 3.2 Reasons for termination: _____

PART 4: SUPPORTING DOCUMENTS (Attachments)

The following documents must be attached to the notification form. Kindly confirm the attachment of documents by marking the appropriate box with an “X”.

		Attached	Comment
OTHER INFORMATION			
(a)	A written statement of the reasons for the termination or, in the opinion of the financial institution or financial intermediary, the reasons for the resignation		
(b)	A certified copy of the letter of termination of appointment, indicating the reason(s) for the termination (where relevant)		
(c)	A copy of the letter of resignation (where relevant)		

SIGNATURE OF AUTHORISED PERSON

By signing the document, I confirm that the information contained in this notification can be relied upon and I have disclosed all necessary material information that may be required by NAMFISA.

Name: _____

Designation: _____

Signature: _____

Date: _____
