

SCHEDULE 1 (to Standard No. GEN.S.10.5)**NOTIFICATION FORM FOR APPOINTMENT OF A PRINCIPAL OFFICER****PART 1: PRINCIPAL OFFICER DETAILS**

- 1.1 Name of Principal Officer: _____
- 1.2 Nationality: _____
- 1.3 ID/Passport Number: _____
- 1.4 Country of Residence: _____
- 1.5 Physical Address: _____
- 1.6 Postal Address: _____
- 1.7 Principal Officer's Telephone Number: _____
- 1.8 Principal Officer's Fax Number: _____
- 1.9 Principal Officer's Email Address: _____

PART 2: APPOINTING FINANCIAL INSTITUTION OR FINANCIAL INTERMEDIARY DETAILS

- 2.1 Name of Entity: _____
- 2.2 Registration number: _____
- 2.3 Physical Address: _____
- 2.4 Postal Address: _____
- 2.5 Entity Telephone Number: _____
- 2.6 Entity Office Fax Number: _____
- 2.7 Entity Office Email Address: _____

SECTION PART 3: EDUCATIONAL QUALIFICATIONS OF THE PRINCIPAL OFFICER

- 3.1 Highest Qualifications: _____
- 3.2 Name of School/College/University: _____
- 3.3 Further Training and Certifications: _____

PART 4: SUPPORTING DOCUMENTS (Attachments)

The following documents must be attached to the notification form. Kindly confirm the attachment of documents by marking the appropriate box with an "X".

		Attached	Comment
OTHER INFORMATION			
(a)	A certified copy of the letter of appointment		
(b)	A certified copy of ID/Passport of Principal Officer, and a certified copy of marriage certificate if name differs from the ID/Passport		
(c)	A certified copy/ies of educational qualification(s) of Principal Officer		
(d)	An abridged Curriculum Vitae of Principal Officer		
(e)	The relevant completed parts and other documentation required pursuant to Standard No. GEN.S.10.2 – Fit and Proper Requirements		

SIGNATURE OF AUTHORISED PERSON

By signing the document, I confirm that the information contained in this notification can be relied upon and I have disclosed all necessary material information that may be required by NAMFISA.

Name: _____

Designation: _____

Signature: _____

Date: _____