

SCHEDULE 1 (to Standard INS.S.2.17)**FORM A - APPLICATION LETTER****APPLICATION BY REGISTERED INSURANCE OR REINSURANCE BROKERS, CORPORATE INSURANCE AND REINSURANCE BROKERS FOR CANCELLATION OR VARIATION OF REGISTRATION GRANTED PURSUANT TO SECTION 57 OF THE ACT**

In terms of section 65(2) of the Financial Institutions and Markets Act of 2021 (“the Act”) –

1. I, the undersigned, being the Principal Officer or duly authorised person of _____ duly empowered thereto, hereby apply for the voluntary cancellation of registration or variation of registration of the said registered insurance or reinsurance broker, in terms of section 65(2) of the Act;

2. I submit with this application all the required documents in terms of this Standard; and

3. If applicable, the proof of payment of the application fee in terms of Standard GEN.S.10.23 – Fees, is enclosed with the application.

PRINCIPAL OFFICER/DULY AUTHORISED PERSON

Full Names:

Signature:

Capacity:

Date:

SCHEDULE 2 (to Standard INS.S.2.17)

FORM B - APPLICATION FOR VOLUNTARY CANCELLATION OR VARIATION OF REGISTRATION

1. INSURANCE BROKER / REINSURANCE BROKER

Name:

NAMFISA Registration Number:

2. PRINCIPLE OFFICE ADDRESS

Physical Address:

Postal Address:

Telephone Number:

Facsimile Number:

3. DETAILS OF PRINCIPAL OFFICER

First Names:

Surname:

ID/Passport Number:

Nationality:

Physical Address:

Postal Address:

Telephone Number:

Cellphone Number:

Facsimile Number:

Email Address:

4. DEREGISTRATION/VARIATION OF CONDITIONS

Effective Date:

Reason(s):
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5. CANCELLATION SPECIFIC INFORMATION

5.1 Does the applicant have any obligations towards its clients, creditors or any other third party at the time of cancelling?

Yes	
No	

5.2 If the answer is yes, kindly furnish full details of the arrangements that the applicant has made to meet all the obligations.

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5.3 Did the applicant inform its Auditor of this cancellation?

Yes	
No	

5.4 If the answer is No, kindly explain.

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6. ATTACHE THE FOLLOWING DOCUMENTS (REQUIREMENTS)

- 6.1 Original Certificate of Registration;
- 6.2 Proof of Payment of renewal fee;
- 6.3 A statement by Auditor/Accountant regarding liabilities; and
- 6.4 Board Resolution approving cancellation or variation (corporate broker).

SIGNATURE OF PRINCIPAL OFFICER OR DULY AUTHORISED PERSON

By signing the document, I confirm that all the information contained in this application is true and correct and can be relied upon and I have disclosed all necessary material information that may be required by NAMFISA.

Full Name:

Signature:

Date: