

**SCHEDULE**  
(to Standard No. RF.S.5.24)

**APPLICATION FOR THE REGISTRATION OF A FUND**

**In terms of Section 252 of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021)**

<b>Section A. General Information</b>
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I, \_\_\_\_\_ (full name of authorised representative of fund)  
hereby apply for the registration of

\_\_\_\_\_ as a retirement/beneficiary fund (delete whichever is not applicable).

It is intended that –

(a) The Principal Officer will be:

\_\_\_\_\_  
(full names)

(b) The ID/Passport number of the of the Principal Officer:

\_\_\_\_\_

(c) The physical address of the Principal Officer:

\_\_\_\_\_

(d) The contact details of the Principal Officer:

\_\_\_\_\_

(e) The principal office of the fund:

\_\_\_\_\_  
(full physical address)

(f) The postal address of the fund:

\_\_\_\_\_

(g) The name and contact details of the proposed fund administrator (if applicable), as well as proof of registration as a fund administrator, if applicable:

\_\_\_\_\_

(h) The name and contact details of the proposed auditor:

\_\_\_\_\_

Name of professional regulatory body: \_\_\_\_\_

Membership No.: \_\_\_\_\_

- (i) The name and contact details of the proposed/appointed valuator:

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<b>Section B. Attachments</b>
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**Kindly confirm the attachment of documents by marking the appropriate box with an “X”.**

		YES	NO
(a)	An original set and a copy of the proposed rules of the fund;		
(b)	The date on which the fund will come into operation;		
(c)	Full details of those who will be participating employers of the fund (if applicable);		
(d)	Number of members who will immediately join the fund upon registration;		
(e)	Code of conduct for the members of the Board of Trustees;		
(f)	A copy of the Interim Board/Board of Trustees’ resolution for the establishment of the fund;		
(g)	Full details of the proposed trustees;		
(h)	Completed disclosure of interest report by the proposed trustees;		
(i)	The proposed Board of Trustees’ resolution approving the appointment of the principal officer;		
(j)	A copy of the Valuator’s Certificate of financial soundness of the rules;		
(k)	Copy of the proposed administration agreement between the fund and the administrator (if applicable);		
(l)	Copy of any other agreements between the fund and any other party (benefit consultant, valuator, auditor, investment manager) (if applicable);		
(m)	the relevant completed parts and other information required pursuant to Standard No. GEN.S.10.2 - Fit and Proper Requirements; and		
(n)	Proof of payment of the prescribed application fee in terms of Standard No. GEN.S.10.23 - Fees.		

### **SIGNATURES OF BOARD / INTERIM BOARD**

By signing the document, we confirm that all the information contained in this application is true and correct and can be relied upon and we have disclosed all necessary material information that may be required by NAMFISA.

Name of board member/interim board member	Signature	Date