

**GOVERNMENT NOTICE**

**GENERAL**

**NAMIBIA FINANCIAL INSTITUTIONS SUPERVISORY AUTHORITY**

**No. 2**

**2021**

**STANDARDS MADE UNDER THE FINANCIAL INSTITUTIONS AND MARKETS ACT, 2021**

The Namibia Financial Institutions Supervisory Authority has under sections 391(4) and 410(9) of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021), made the Standards set out in the Schedule.

**Gersom Katjimune**  
**Chairperson**

**Windhoek,**

**2021**

FINANCIAL INSTITUTIONS AND MARKETS ACT, 2021 [Act No. 2 of 2021]

DRAFT STANDARD

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THE FORM AND CONTENT OF ANY APPLICATION FOR APPROVAL OF A CHANGE  
OF NAME, USE OF ANOTHER NAME OR USE OF A SHORTENED FORM OR  
DERIVATIVE FORM OF A NAME MADE TO NAMFISA UNDER THIS ACT

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NAMIBIA FINANCIAL INSTITUTIONS SUPERVISORY AUTHORITY

**Standard No. GEN.S.10.19**

**FINANCIAL INSTITUTIONS AND MARKETS ACT, 2021 [Act No. 2 of 2021]**

**APPLICATION FOR APPROVAL OF A CHANGE OF NAME, USE OF ANOTHER  
NAME OR USE OF SHORTENED FORM OR DERIVATIVE OF A NAME**

**Standard No. GEN.S.10.19**

issued by NAMFISA under sections 391(4) and 410(9) of the Financial Institutions and Markets Act, 2021

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**Definitions**

**1.** (1) In this Standard, “Act” means the *Financial Institutions and Markets Act, 2021* [Act No. • of 2021], and includes the regulations prescribed under the Act and the standards and other subordinate measures issued by NAMFISA under the Act.

(2) Words and phrases defined in the Act have the same meaning in this Standard, unless the context indicates otherwise, including without limitation, the following as defined in section 1 of the Act:

- (a) corporate body;
- (b) principal officer; and
- (c) NAMFISA.

**Application**

**2.** (1) An application for approval of a change of name, use of another name or use of a shortened form or derivative of a name must be—

- (a) made on the form set out in the Schedule attached to this Standard;
- (b) signed by the principal officer or another duly authorised key responsible person;
- (c) submitted to NAMFISA-
  - (i) electronically, through the electronic regulatory system (ERS); or
  - (ii) in hard copy addressed to the Registrar at NAMFISA using either the postal or physical address.
- (d) together with supporting documents showing-

- (i) proof of payment of the application fee;
- (ii) a resolution made inclusive of reasons that supports the application; and
- (iii) such other information and documents specified in the Schedule or which NAMFISA may, from time to time, require.

(2) An application, not complete in all respects and not conforming to the instructions specified in the Schedule, shall be rejected.

(3) Where an application is made for a change of name, and where the applicant is an entity, certified copies of the relevant documents of the applicant, evidencing the name change, must be submitted to NAMFISA within 30 calendar days after the change of name has been certified by the Business and Intellectual Property Authority.

### **Application fee**

**3.** The non-refundable fee chargeable for the submission of the application, and the manner of payment, is stipulated in Standard No. GEN.S.10.23.

## **SUPPORTING SCHEDULE**

The following supporting schedule is attached to and forms part of this Standard:

**APPLICATION FOR APPROVAL OF A CHANGE OF NAME, USE OF ANOTHER NAME OR  
USE OF A SHORTENED FORM OR DERIVATIVE OF A NAME**

DRAFT

**SCHEDULE**

**APPLICATION FOR APPROVAL OF A CHANGE OF NAME, USE OF ANOTHER NAME OR USE OF A SHORTENED FORM OR DERIVATIVE OF A NAME**

To be completed by the principal officer or another duly authorised person

<i>For NAMFISA Use Only:</i> Fee Paid: N\$.....
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1. In terms of section 391(4) of the Act, I, the undersigned, being the principal officer or duly authorised person of _____, hereby apply for	
<input type="checkbox"/> a change of name;	
<input type="checkbox"/> use of another name; or	
<input type="checkbox"/> use of a shortened form or derivative of a name	
2. Registered name:	
3. NAMFISA Licence Registration Number:	
4. Proposed name:	
5. Required supporting attachments	
<input type="checkbox"/> resolution on the decision to make the application and reason/s for the proposed name;	
<input type="checkbox"/> amended document/s of the applicant should be submitted afterwards in accordance with clause 2(3);	
<input type="checkbox"/> original license or, if lost, a sworn declaration to that effect; and	
<input type="checkbox"/> proof of payment of the non-refundable application fee.	
6. Additional file attachments	
By signing this document I guarantee that all the above information is true and accurate and can be relied on and that I will disclose all necessary information that may be required by NAMFISA. I also confirm that I have completed the form based on NAMFISA standards.	
SIGNED ON BEHALF OF THE APPLICANT:	
Name..... Capacity.....	
Signature:.....Date:.....	