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REPUBLIC OF NAMIBIA

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General Notice

NAMIBIA FINANCIAL INSTITUTIONS SUPERVISORY AUTHORITY

No. 718

2026

STANDARD UNDER FINANCIAL INSTITUTIONS AND MARKETS ACT, 2021

The Standard GEN.S.10.29, as set out in Schedule 1, is published and issued by the Namibia Financial Institutions Supervisory Authority (NAMFISA) on an urgent basis under section 409(1), read with section 409(4), of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021). The Standard comes into effect on the date of publication in the *Gazette*.

All financial institutions, financial intermediaries, industry associations or self-regulatory organisations are, in terms of section 409(3)(b) and (c), invited to make representations in writing to NAMFISA with respect to the Standard within 30 calendar days after the date of publication. Such representations will be taken into account in determining whether to re-issue the Standard as originally published or in a modified form.

Written representations must be supplied in the template provided under Schedule 2, and must be submitted to NAMFISA at the Upper Ground Floor, Gutenberg Plaza, 51-55 Werner List Street, Windhoek or e-mail: acloete@namfisa.com.na and projectnewdawn@namfisa.com.na.

BRIAN MASULE

CHAIRPERSON OF THE BOARD

NAMIBIA FINANCIAL INSTITUTIONS SUPERVISORY AUTHORITY

SCHEDULE 1**FINANCIAL INSTITUTIONS AND MARKETS ACT, 2021 [Act No. 2 of 2021]****GENERAL****FORM OF CERTIFICATE FOR APPOINTMENT OF INSPECTOR AND PERSON
APPOINTED TO ASSIST INSPECTOR IN CARRYING OUT OF AN INSPECTION OR
INVESTIGATION****Standard No. GEN.S.10.29**

issued by NAMFISA under sections 409(1) and 410(2)(b) of the Financial Institutions and
Markets Act, 2021

Definitions

1. (1) In this Standard,
 - (a) “Act” means the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021), and it must be read with the regulations prescribed under the Act and the standards and other subordinate measures issued by NAMFISA under the Act; and
 - (b) “inspector” means a person appointed as an inspector under section 419 of the Act.
- (2) Words and phrases defined in the Act have the same meaning in this Standard, unless the context indicates otherwise.

Application

2. This Standard applies to all inspectors and persons appointed to assist inspectors in carrying out of an inspection or investigation under the Act.

Form of Certificate of Appointment

3. Upon appointment of inspectors and persons appointed to assist inspectors in carrying out of an inspection or investigation in terms of the Act, NAMFISA must issue:
 - (a) for purposes of the appointment of inspectors employed by NAMFISA, a certificate of appointment containing information and made in the format set out in Annexure 1 attached to this Standard;
 - (b) for purposes of the appointment of inspectors who are not employed by NAMFISA, a certificate of appointment containing information and made in the format set out in Annexure 2 attached to this Standard; and
 - (c) for purposes of the appointment of persons assisting inspectors in carrying out of an inspection or investigation, a certificate of appointment containing information and made in the format set out in Annexure 3, attached to this Standard.

ANNEXURE 1

**INSERT
PHOTOGRAPH
OF INSPECTOR**

CERTIFICATE OF APPOINTMENT**Appointment of Inspector in terms of section 419(1) of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021)**

This serves to certify that[insert the name of the inspector as indicated on national identification card/passport], identity number/passport number [insert national identity number/passport number], is hereby appointed as an inspector in terms of section 419(1) of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021) (“the Act”).

By virtue of such appointment, the inspector is authorised to exercise all powers, perform all functions, discharge all duties and comply with all obligations conferred or imposed on an inspector under the Act, including any regulations, standards, directives, notices, determinations or other subordinate instruments made, issued or applicable under the Act, as amended or replaced from time to time.

**ISSUED BY NAMFISA IN (Insert place) ON
[Insert issue date]**

..... **(Insert signature of authorised person)**

..... **(Insert name of authorised person)**

..... **(Designation of authorised person)**

ANNEXURE 2



**INSERT
PHOTOGRAPH
OF INSPECTOR**

CERTIFICATE OF APPOINTMENT

Appointment of Inspector in terms of section 419(1) of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021)

This serves to certify that[insert the name of the inspector as indicated on national identification card/passport], identity number/passport number [insert national identity number/passport number], is hereby appointed as an inspector in terms of section 419(1) of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021) ("the Act").

This appointment is issued for the purpose of conducting an inspection/investigation of [insert name of financial institution/financial intermediary/person].

By virtue of such appointment, the inspector is authorised to exercise all powers, perform all functions, discharge all duties and comply with all obligations conferred or imposed on an inspector under the Act, including any regulations, standards, directives, notices, determinations or other subordinate instruments made, issued or applicable under the Act, as amended or replaced from time to time.

This appointment is valid from [Insert start date] to [Insert end date], unless earlier withdrawn or extended by NAMFISA.

ISSUED BY NAMFISA IN (Insert place) ON [Insert issue date]

..... (Insert signature of authorised person)

..... (Insert name of authorised person)

..... (Designation of authorised person)

ANNEXURE 3



**INSERT
PHOTOGRAPH
OF INSPECTOR**

CERTIFICATE OF APPOINTMENT

Appointment of person assisting inspector in carrying out of an inspection or investigation in terms of section 419(2) of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021)

This serves to certify that[insert the name of the person as indicated on national identification card/passport], identity number/passport number [insert national identity number/passport number], is hereby appointed to assist the inspector in carrying out of an inspection or investigation in terms of section 419(2) of the Financial Institutions and Markets Act, 2021 (Act No. 2 of 2021) (“the Act”).

This appointment is issued for the purpose of assisting (insert name of inspector) in the inspection/investigation of [insert name of financial institution/financial intermediary/person].

This appointment is valid from [Insert start date] to [Insert end date], unless earlier withdrawn or extended by the Inspector, with the consent of the Namibia Financial Institutions Supervisory Authority (“NAMFISA”).

ISSUED BY NAMFISA IN (Insert place) ON [Insert issue date]

..... (Insert signature of inspector)

..... (Insert name of inspector)

INSPECTOR

..... (Insert signature of authorised person)

..... (Insert name of authorised person)

..... (Designation of authorised person)

**TEMPLATE FOR WRITTEN REPRESENTATIONS:
STANDARD GEN.S.10.29**

[illegible]